



ETTIHAD SECURITIES LTD.

Member No. 110, Dhaka Stock Exchange Ltd.
FULL SERVICE DP-CDBL.
(SEC REG.No. 3.1/DSE-110/2007/143)

158-160 (9th Floor), Room No. 1003
Modhumita Building, Motijheel C/A
Dhaka-1000
Phone : 7175138, 7161292

Form 1A [SEC Rule 8(1), (CCC)]

Account Opening Information Form

PHOTO

Trading Code: BO ID

Account type: Cash Margin Account Status : Individual Joint Comp. Date

Spceial Remarks If any

First Applicant

Name [BLOCK] :

Father's/Husband's CEO's (In Case of Firm or Company) Name :

Mother's Name :

Date of birth : Nationality : Sex : Male Female

Permanent Address :

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Mailing Address :

Occupation : Phone : Mobile : Fax :

Bank Details

Bank Name : Br. Name : A/C No. :

Joint Applicant

Name [BLOCK] :

Father's/Husband's CEO's Name :

Mother's Name :

Date of birth : Nationality : Sex : Male Female

Permanent Address :

Mailing Address :

Phone : Mobile : Fax :

Other Applicant

In case of Company/Firm/Statutory Body, Name of contact person :

If yes, Name of the company

Officer or Board of Director of any Stock Exchange/Listed Company : Yes No

If yes, Name of the company

Authorized Person/POA.

Name with address of the authorized person/POA of the Account Holder to deal with ETTIHAD SECURITIES LTD.

A passport size photograph of the Authorized Person/POA. is required to be attested by the Customer.

Name of Authorized Person/POA

Address :

Phone : Mobile :

Signature : Date :

PHOTO

Introducer

Name of the Person Introducing the Customer

Trading Code: **BO ID**

Address of Introducer :

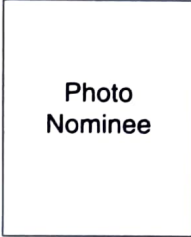
Signature of Introducer

Nominee Details :

1. Name Of Nominee : Percentage

Relationship with Account Holder :

Address :



Signature of Nominee :

Signature of Account Holder :

1.
2.

Mode of Operation :

Single Jointly Either or Survivor

Special Instruction (if any) :

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1.
2.

I/We hereby accept your terms and conditions and I/We declare that the information given is true and correct.

Signature of First Account Holder

Date :

Signature of Joint A/C Holder

Date :

Acceptance by ETTIHAD SECURITIES LTD.

Authorized Signature

Date :

7. Account Link Request

Would you like to create a link to your existing Depository Account ? Yes No

If yes, then please provide the Depository BO Account Code (8 Digits) :

8. Nominees/Heirs

If account holder(s) wish to nominate person(s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder / all the joint account holders, a separate nomination Form-23 must be filled up and signed by all account holders and the nominees giving name of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name address, relationship with nominee has also to be provided.

9. Power of Attorney (POA)

If Account holder(s) wish to give a Power of Attorney (POA) to someone to operate the account, a separate Form-20 must be filled up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

10. To be filled in by the Stock Broker/Stock Exchange in case the application is for opening a Clearing Account

Exchange Name DSE Trading ID CSE Trading ID

11. Photograph

Please Insert recent passport size photograph of 1st Applicant for Authorized Signatory in case of Limited Co. Only

1st Applicant for Authorized Signatory in case of Ltd. Co.

Please Insert recent passport size photograph of 2nd Applicant for Authorized Signatory in case of Limited Co. Only

2nd Applicant for Authorized Signatory in case of Ltd. Co.

Please Insert recent passport size photograph of Authorized Signatory in case of Limited Co. only

3rd Applicant for Authorized Signatory in case of Ltd. Co.

12. Standing Instructions

I/ We authorized you to receive facsimile (fax) transfer instructions for delivery. Yes No

13. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts I/we also declare that the particulars given by me/ us are true to the best of my/ our knowledge as on the date of making such application. I/we further agree that any false/ misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of Applicants/Authorized Signatories in case of Ltd. Co.	Signature with date
First applicants		
Second applicants		
3rd Signatory (Ltd Co. Only)		

14. Special Instructions on operation of joint Account

Either or Survivor Any one can operate Any two will operate jointly
 Account will be operated by with any one of the others.

15. Introduction

Introduction by an existing account holder of

ETTIHAD SECURITIES LTD.
 Depository Participant's Name

I confirm the identity occupation and address of the applicant(s)

Introducer's Name

Account ID

(Signature of Introducer)

Date & Authorised Signature Accepting the Account



ETTIHAD SECURITIES LTD

TREC-110, Dhaka Stock Exchange Ltd.
Modhumita Building (9th Floor), 158-160, Motijheel C/A, Dhaka-1000
Tel: 9576197, Email: ettihad2011@gmail.com

KYC PROFILE FORM

(Applicable for BO Account)

Code:

(To be completed by Account Opening Manager)

Customer/ Account Name	
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Type of Account	<input type="checkbox"/> Single	<input type="checkbox"/> Joint	<input type="checkbox"/> Company	<input type="checkbox"/> PEP
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Occupation	
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Name of Introducer & Code	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
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Name of Account Opening Officer	
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Source of Fund	
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Information Regarding BO holder (20% or more shares)	
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Bank Statement/ Certificate/ Cheque	Photocopy Obtained?	<input type="checkbox"/>
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Passport No.	Photocopy Obtained?	<input type="checkbox"/>
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Utility Bill	Photocopy Obtained?	<input type="checkbox"/>
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National ID No.	Photocopy Obtained?	<input type="checkbox"/>
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TIN No.	Photocopy Obtained?	<input type="checkbox"/>
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Signature of A/C Holder	
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Comment (If any)	
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A/C Opening Officer:

Verified By:

Approved By:

**Central Depository Bangladesh Limited (CDBL)
Depository Account (BO Account) opened with CDBL Participant
Terms & Conditions - Bye Laws 7.3.3 (c)**

CDBL Participant, Dhaka / Chittagong / Sylhet. Bangladesh



ETTIHAD SECURITIES LTD.

Member No. 110, Dhaka Stock Exchange Ltd.

FULL SERVICE DP-CDBL.

158-160 (9th Floor), Room No. 1003

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Dhaka-1000

Phone : 7175138, 7161292

Dear Sir,

Please open a Depository Account (BO Account in my/our name(s) on the terms and conditions set out below. In consideration of **ETTIHAD SECURITIES LTD.** (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository, (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL.
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/We instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant Shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/We agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/We shall be responsible for :
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
 - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction/transfer;
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details status authorizations, mandates, nomination, signature etc.
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/We shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form
 - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account.
 - b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
 - c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other person i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account may be closed by me/us in one of the following ways;
 - (a) By rematerialization of all existing balances in my/our account.

- (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
- (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s);

8. CDBL Participant covenants that it shall

- a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf
- b) not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- c) maintain adequate audit trail of the execution of the instructions of the Account Holder.
- d) not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless.
 - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf.
 - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his / its constituted attorney available on records of the CDBL Participant;
 - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
- e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such changes by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
- f) promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:

- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
- (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
- (c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
- (d) Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicants	Name of Applicants / Authorized signature in case of Ltd. Co.	Signature with date
First Applicant		
Second Applicant		
3rd Applicant (Ltd. Co. only)		